



**Department
of Health**

**Medicaid
Redesign Team**

Implementation Plan, Quarterly Reports, and Achievement Values

February 2015

Quarterly Reports and DSRIP Payments

- PPSs will be required to submit Quarterly Reports to provide updates on progress towards achieving Organizational and Project milestones and requirements.
 - Quarterly Reports will be required to provide evidence of ongoing efforts for Organizational milestones following completion of the Organizational milestones.

Demonstration Year and Quarter	Reporting Period	Quarterly Report Due	Payment Date
N/A	Approved Project Plan	N/A	April 23, 2015
DY 1, Q2	April 1, 2015 – June 30, 2015	July 31, 2015	October 29, 2015
DY 1, Q3	July 1, 2015 – September 30, 2015	October 31, 2015	April 30, 2016
DY 1, Q4	October 1, 2015 – December 31, 2015	January 31, 2016	
DY 2, Q1	January 1, 2016 – March 31, 2016	April 30, 2016	October 29, 2016
DY 2, Q2	April 1, 2016 – June 30, 2016	July 31, 2016	
DY 2, Q3	July 1, 2016 – September 30, 2016	October 30, 2016	May 1, 2017
DY 2, Q4	October 1, 2016 – December 31, 2016	January 31, 2017	

Process Measures and Milestones: Organizational Requirements

Workforce

Workforce Strategy
Budget Updates

Workforce Impact
Analysis and Updates

New Hire Employment
Analysis and Updates

Governance

Governance structure
updates (3 milestones)

Governance process
updates (1 milestone)

Financial sustainability

Financial Sustainability
Strategy Updates (3
milestones)

Progress Reports on the
PPS Effort to Transition
to Value-Based Payment
Systems (5 milestones)

Cultural Competency and Health Literacy

Progress Reports on the
Implementation of the
Cultural Competency/
Health Literacy
Strategies (2
milestones)

Process Measures and Milestones: Organizational Requirements

- The following slides contain details on each of the process measures and the milestones associated with each Organizational Component.
 - When possible, the minimum expected timelines for achieving each milestone are identified.
 - Additional process measures and milestones may be required, at the discretion of DOH and the Independent Assessor, for any of the Organizational Components.
- It will be expected that PPSs complete the milestones by the timelines identified by DOH and the Independent Assessor.
 - PPSs can complete the milestones prior to the required timeline however a missed timeline will result in a loss of payment to the PPS.
 - PPSs would be expected to complete the milestone if the timeline is missed, however payment cannot be recouped for a milestone once the required timeline has passed.
- The Implementation Plan prototype contains suggested timelines for completion of many of the Domain 1 process measures and milestones for the Organizational Components.

Process Measures and Milestones: Governance

Process Measure	Milestone	Minimum Expected Completion Date
Governance Structure Updates	Finalize governance structure and sub-committee structure	DY 1, Q2
	Establish a clinical governance structure, including clinical quality committees for each DSRIP project	DY 1, Q4
	Finalize bylaws and policies or Committee Guidelines where applicable	DY 1, Q3
Governance Process Updates	Establish governance structure reporting and monitoring processes	DY 1, Q4

Process Measures and Milestones: Cultural Competency and Health Literacy

Process Measure	Milestone	Minimum Expected Completion Date
Progress Reports on the implementation of the Cultural Competency / Health Literacy Strategies	Finalize Cultural Competency / Health Literacy Strategy	DY 1, Q4
	Develop a training strategy focused on addressing the drivers of health disparities (beyond the availability of language appropriate materials)	DY 2, Q2

Process Measures and Milestones: Financial Sustainability

Process Measure	Milestone	Minimum Expected Completion Date
Financial Sustainability Strategy Updates	Finalize PPS Finance Structure, including reporting structure	DY 1, Q3
	Perform network financial health current state assessment and develop financial sustainability strategy to address key issues	DY 1, Q4
	Finalize Compliance Plan consistent with New York State Social Services Law 363-d	DY 1, Q4
Progress Reports on the PPS efforts to Transition to Value-Based Payment Systems	Develop detailed baseline assessment of revenue linked to value-based payment, preferred compensation modalities for different provider types and functions, and MCO strategy	DY 1, Q4
	Finalize a plan towards achieving 90% value-based payments across network by year 5 of the waiver at the latest	DY 2, Q4
	Put in place Level 1 VBP arrangements for PCMH/APC care and one other care bundle or subpopulation	TBD
	Contract 50% of care-costs through Level 1 VBPs, and $\geq 30\%$ of these costs through Level 2 VBPs or higher	TBD
	$\geq 90\%$ of total MCO-PPS payments (in terms of total dollars) captured in at least Level 1 VBPs, and $\geq 70\%$ of total costs captured in VBPs has to be in Level 2 VBPs or higher	TBD



Process Measures and Milestones: Workforce Strategy

- The Workforce Strategy Organizational Component requires the PPS to report baseline data for three main categories:
 - Workforce Strategy Budget Updates: based on the Workforce Strategy Budget commitment made in the Project Plan Application.
 - Workforce Impact Analysis and Updates: provides details on the workforce impact and placement impact for redeployed, retrained and newly hired staff.
 - New Hire Employment Analysis and Updates: provides details on the number and types of new hires.
- The PPS will be required to expand upon the details from the Project Plan Application in the first Quarterly Report, due by July 31, 2015.
 - The data provided in this Quarterly Report will not be the final commitment of the PPS however it should be illustrative of the PPS efforts in expanding the level of detail provided in the Project Plan Application.
- The final commitments from the PPS on their Workforce Strategy Process Measures will be required in the Quarterly Report due in January 2016.
 - The commitments made in this quarterly report will be used as the baseline in measuring PPS performance throughout the remaining DSRIP periods.

Earning Achievement Values and Payments

- PPSs will be expected to meet the timelines for the implementation of Domain 1 process measures and milestones as identified within their Implementation Plan in order to receive the Achievement Values associated with each Organizational Component and receive payments.
- For periods prior to the completion of a milestone, the PPS will be required to provide status updates on the PPS progress towards achieving the milestone in the Quarterly Report.
 - For example, a PPS that has committed to finalizing their Compliance Plan in accordance with NYS Social Services Law 363-d by DY1, Q4 (December 2015) will be required to provide updates on their progress towards achieving that milestone date during their Quarterly Reports for DY1, Q2 (July 2015) and DY1, Q3 (October 2015).
- The submission of the Quarterly Report by the PPS and the approval by the Independent Assessor will serve to determine Achievement Values for those periods in which a milestone is not scheduled to be achieved.
- The Independent Assessor is working with DOH to determine the applicable thresholds for each of the Organizational Components that will determine if a PPS has earned the Achievement Value for that Organizational Component.
 - A final decision on the policy related to Achievement Values and earning payments will be communicated to the PPSs by DOH and the Independent Assessor once a decision is reached.

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